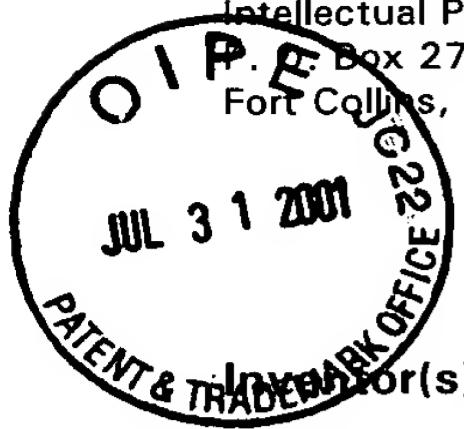


HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, Colorado 80527-2400



PATENT APPLICATION

ATTORNEY DOCKET NO. 10014416-1

0368

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kay-Yut Chen et al

Confirmation No.:

Application No.: 09/858251

Examiner:

Filing Date: May 15, 2001

Group Art Unit:

Title: An Automated Decision Support System For Designing Auctions

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

(X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

() under 37 CFR 1.97 (c) together with either a:
() Statement under 37 CFR 1.97(e), or
() a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

() under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e), and
() a petition under 37 CFR 1.97(d)(2), and
() a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of **\$0.00**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

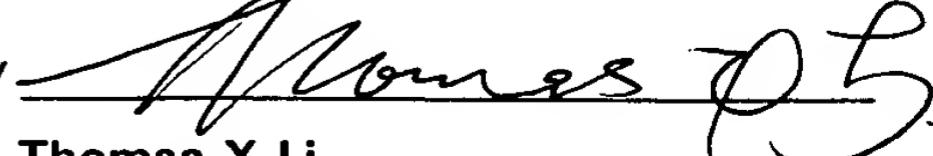
() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Kay-Yut Chen et al

By



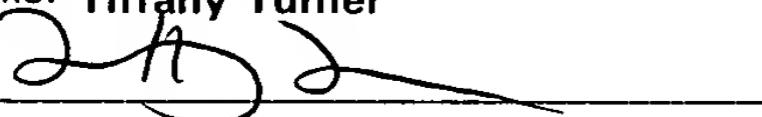
Thomas X Li

Attorney/Agent for Applicant(s)
Reg. No. 37,079

Date: 07/27/01

Date of Deposit: 07/27/01

Typed Name: Tiffany Turner

Signature: 



PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
10014416-1	09/858,251	
APPLICANT		
Kay Yut Chen		
FILING DATE	GROUP	
05/15/01		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
1A						
1B						
1C						
1D						
1E						
1F						
1G						
1H						
1I						
1J						
1K						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Econometrica, A theory of Auctions and Competitive Bidding, Paul R. Milgrom, 09/1982
1R	Journal Of Economic Literature, Auctions and Bidding, R. Preston McAfee, 06/1987, pages 699-738
1S	Journal of Economic Perspectives, Auctions and Bidding: A Primer, Paul Milgrom, Summer 1989, Pages 3-22

EXAMINER

DATE CONSIDERED



PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	2A					
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FOREIGN PATENT DOCUMENTS

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							YES	NO
	2L							
	2M							
	2N							
	2O							
	2P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	Strategic Analysis of Auctions, Robert Wilson, February 1990
2R	Structural Econometric Models of Strategic Behavior, Structural Analysis of Auction Data, Jean-Jacques Laffont
2S	Structural Econometrics of First-Price Auctions: A Survey of Methods, Isabelle Perrigne, June 1999

EXAMINER

DATE CONSIDERED